

# CS4618 Artificial Intelligence I

Today: Analysing Mutation  
Applying RSH

Thomas Jansen

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# Plans for Today

- 1 Analysing Mutation  
Motivation
- 2 Global Mutations Excel  
Shortcuts
- 3 Local Mutations Excel  
Traps
- 4 Research  
Intuition and Counterexample
- 5 Applying RSH  
Problem Modelling
- 6 Summary  
Summary & Take Home Message

## Comparing Mutation Operators

**Remember** (1+1) EA and RLS are algorithmically similar  
they only differ in the mutation operator

**RLS** 1-bit flips

**(1+1) EA** flip each bit with probability  $1/n$

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- useful proof method:  $f$ -based partitions
- useful visualisation of functions
  - plotted over number of 1-bits (not always possible)
  - as 'map' over search space

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with global mutations ((1+1) EA)  
and proved that global mutations **outperform** local mutations  
**when local optima a involved**

Observation **completely pointless** and **completely obvious**

More useful question **Can global mutations outperform local mutations  
on functions without local optima?**

## Interlude: A Useful Tool

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### Properties

- length  $(k + 1) \cdot 2^{(n-1)/k} - k + 1$
- $\forall p \in P_k^n: \forall i \in \{1, 2, \dots, k-1\}: \exists_1 \text{successor } y \in P_k^n: H(p, y) = i$

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$$f_2(x) := \begin{cases} n^2 + p & \text{if } x = v_p \in P_2^n \\ n^2 - n \cdot \left( \sum_{i=1}^2 x[i] \right) - \sum_{i=3}^n x[i] & \text{otherwise} \end{cases}$$

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 (formal proof with  $f_2$ -based partition) ✓

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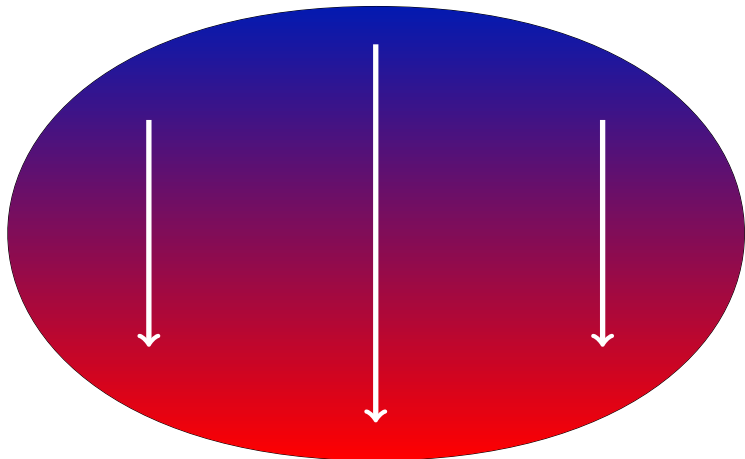
Can global mutations be very much slower than local mutations?

## Trapping Global Mutations

$$f_3(x) := \begin{cases} n + 2i & \text{if } x = 1^i 0^{n-i} \\ 3n - 1 & \text{if } x = 1^i 0^j 10^k 10^{n-i-j-k-2} \\ & \text{with } n/4 \leq i \leq 3n/4 \text{ and } j > 0 \\ n - \text{OneMax}(x) & \text{otherwise} \end{cases}$$

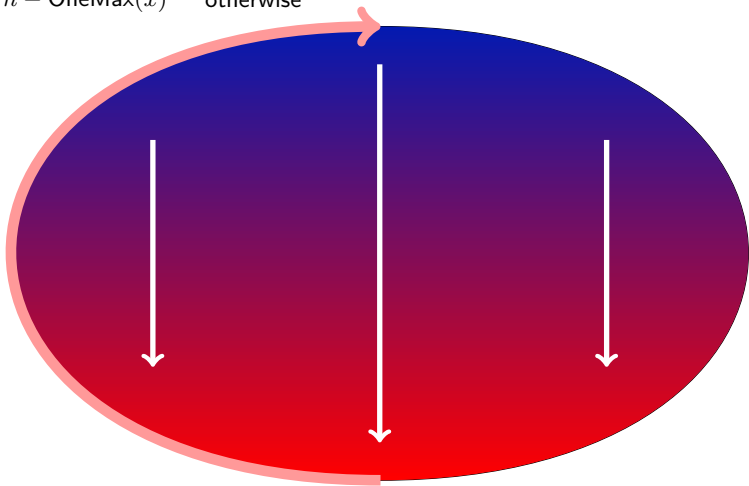
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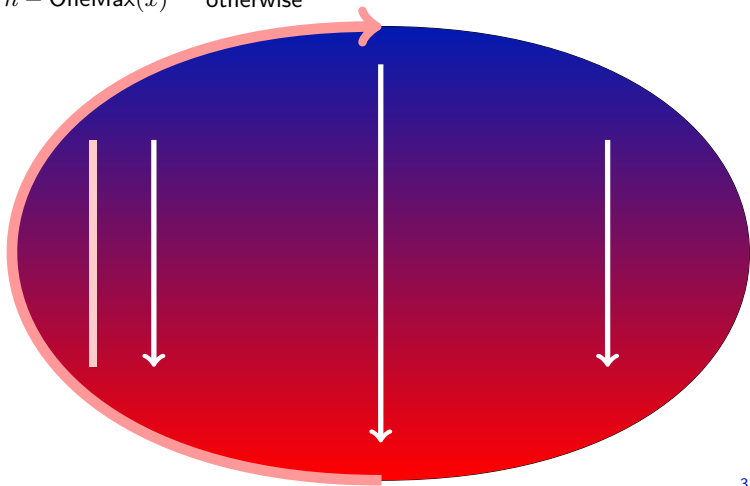
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**Observation**  $\text{Prob}(\text{trap not found}) = 1 - 2^{-\Omega(n)}$  ✓

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$$\mathbb{E}(T_{\text{RLS}, f_3} \mid \text{trap not found}) = O(n \log n) + \mathbb{E}(\text{time on ridge})$$

## RLS on the Ridge

$$f_3(x) := \begin{cases} n + 2i & \text{if } x = 1^i 0^{n-i} \\ 3n - 1 & \text{if } x = 1^i 0^j 10^k 10^{n-i-j-k-2} \\ & \text{with } n/4 \leq i \leq 3n/4 \text{ and } j > 0 \\ n - \text{OneMax}(x) & \text{otherwise} \end{cases}$$

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**Thus**  $\mathbb{E}(T_{\text{RLS}, f_3} \mid \text{trap not found})$   
 $= O(n \log n) + \mathbb{E}(\text{time on ridge})$   
 $= O(n \log n) + O(n^2) = O(n^2)$



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## Proof

**Observation**  $\mathbb{E} \left( T_{(1+1) \text{ EA}, f_3} \mid \text{trapped} \right) \geq \left( \left( \frac{1}{n} \right)^{n/4} \right)^{-1}$

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**Needed**  $\text{Prob}(\text{enter trap})$

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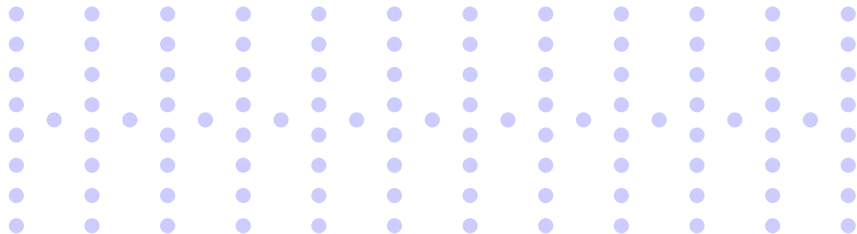
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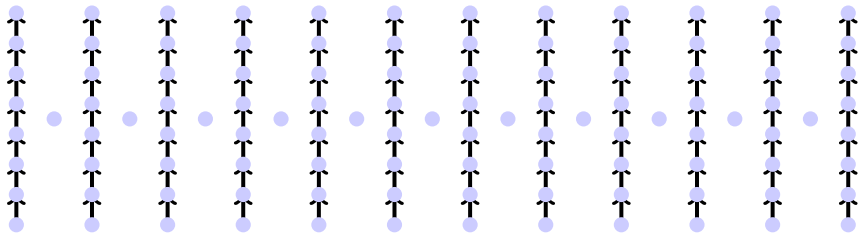
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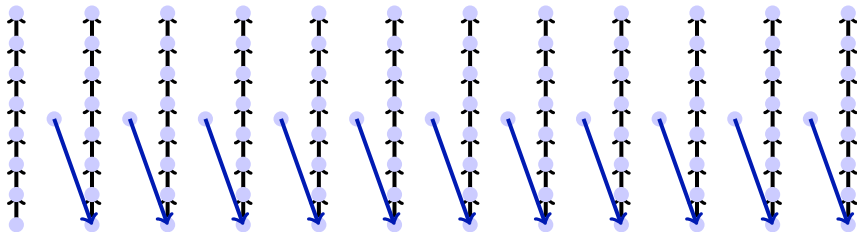
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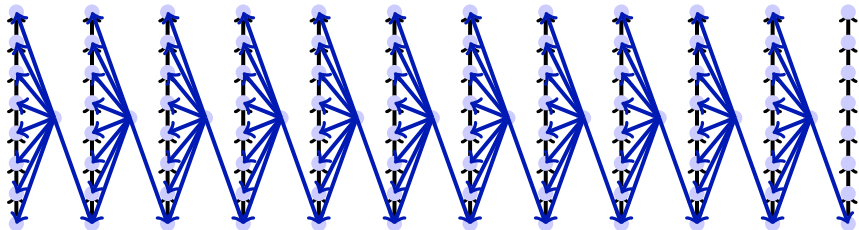
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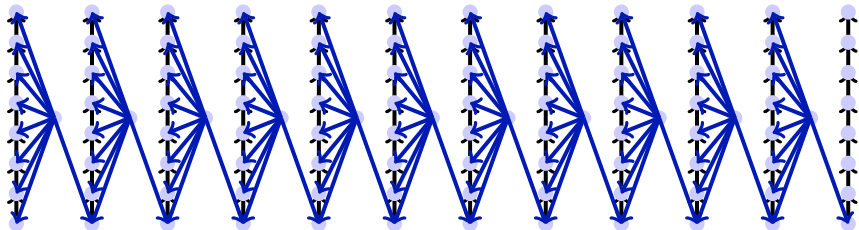
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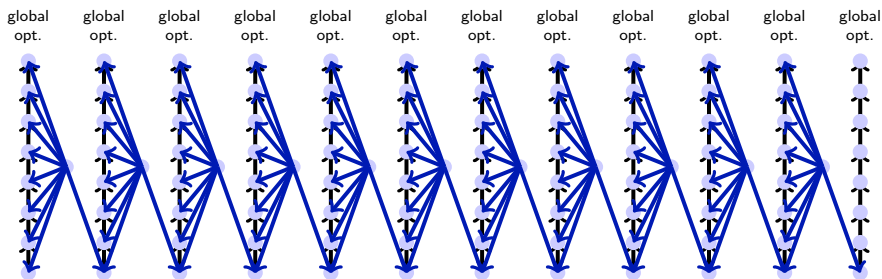
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For  $n \in \mathbb{N}$  with  $n \geq 16$

$$n_1 := 4 \cdot \lfloor n/8 \rfloor, k := \lfloor \sqrt{n_1 - 1} \rfloor, n_2 := k^2 + 1, n_3 := n - n_1 - n_2.$$

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$$f_4(x) := \begin{cases} 2^n \cdot n^2 & \text{if } x_1 = 1^{n_1} \text{ or } x_2 = v_l \\ i \cdot n^2 + j & \text{if } x_1 = 1^j 0^{n_1-j}, x_2 = v_i \\ i \cdot n^2 + n + n_1 - j_1 & \text{if } x_1 = 1^{j_1} 0^{j_2} 10^{j_3} 10^{n_1-j_1-j_2-j_3-2}, x_2 = v_i, \\ & n_1/4 \leq j_1 + j_2 \leq n_1/2, j_1 + j_2 + j_3 \geq (3/4)n_1 \\ i \cdot n^2 + 2n & \text{if } x_1 = 0^j 10^{n_1-j-1}, n_1/4 \leq j \leq n_1/2, \\ & x_2 = v_i, i \text{ odd} \\ i \cdot n^2 - 1 & \text{if } x_1 = 0^j 10^{n_1-j-1}, n_1/4 \leq j \leq n_1/2, \\ & x_2 = v_i, i \text{ even} \\ i \cdot n^2 + 2n & \text{if } x_1 = 0^j 10^{n_1-j-1}, j > n_1/2, \\ & x_2 = v_i, i \text{ even} \\ i \cdot n^2 - 1 & \text{if } x_1 = 0^j 10^{n_1-j-1}, j > n_1/2, \\ & x_2 = v_i, i \text{ odd} \\ n - |x| & \text{otherwise} \end{cases}$$

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