## **CS6421: Deep Neural Networks**

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Spring 2020 Lecture 1: Introduction

Based on notes from John Canny, Ismini Lourentzou, Stanford courses CS224NLP/CS231

#### **Overview**

## Course Overview

- Focus
- Grading
- Topics to be covered
- Neural Networks: Brief Survey
- Introduction to Deep Learning

Introduce key aspects of deep learning

- Scientific basis
- Methodology to implement deep networks
  - Use Keras/TensorFlow for implementations

## NOT tool-focused

- Tools change over time, but the science is the key to using deep learning
- If you want to learn about tools, take a different course

### **Course Schedule**

	Week	Date	Number	Main Topic	Details
BACKGROUND	1	15/01/2020	1	Overview	Introduction to deep learning
				Classification and	Using deep networks for classification and
		17/01/2020	2	Learning	learning tasks
	2	22/01/2020	3	Modularity	Architectures for Deep networks
				Mathematical	
		24/01/2020	4	Background	Matrices and differential calculus
BASIC INFERENCE	3	29/01/2020	5	DL Inference	Designing a Learning problem
					Computation graph
				Inference:	- Theory
		31/01/2020	6	classification	- Tensor Flow
				TensorFlow: practical	
	4	05/02/2020	7	aspects	TensorFlow implementation details
				Deep Learning:	
		07/02/2020	8	Training	Backpropagation; Optimisation
PRACTICAL					Network-Initialisation: Architectures;
ASPECTS	5	12/02/2020	9	Practical Aspects	Activation and Loss functions
		14/02/2020	10	Practical Aspects	Weight initialisation; Data pre-processing
					Data augmentation; transfer learning;
					Deep-Network practical issues; CPU/GPU
	6	19/02/2020	11	Practical Aspects	practical issues
				Practical Aspects:	Optimization
				Training Deep	gradient flow
		21/02/2020	12	Networks	batch optimization
	7				
			-	MIDTERM	
				Convolution Neural	
CNN	8	04/03/2020	13	Networks (CNNs)	Applications of CNNs
				Convolution Neural	
		06/03/2020	14	Networks (CNNs)	Applications of CNNs
	9	11/03/2020	15		
TEMPORAL				Temporal Deep	
NETWORKS		13/03/2020	16	Networks	RNN
				Temporal Deep	
	10	18/03/2020	17	Networks	LSTM
				Temporal Deep	
		20/03/2020	18	Networks	RNN/LSTM applications
REINFORCEMENT				Deep Reinforcement	Deep Reinforcement Learning
LEARNING	11	25/03/2020	19	Learning	introduction; critic-based methods
				Deep Reinforcement	Deep Reinforcement Learning: actor-
		27/03/2020	20	Learning	based methods
	12	01/04/2020	21	Deep-RL-applications	
				Deep Probabilistic	
			22	Networks	Restricted Boltzmann Machines

# **Technical Topics Covered**

- □ Machine Learning basics
- □ Introduction to Deep Learning
  - what is Deep Learning
  - why is it useful
- □ Main components/hyper-parameters:
  - activation functions
  - optimizers, cost functions and training
  - regularization methods
  - tuning
  - classification vs. regression tasks
- □ Methodology of deep learning
  - How to train deep networks on real-world data
- DNN basic architectures
  - convolutional
  - recurrent
  - attention mechanism

Some material from CS224 NLP with DL course at Stanford

## **Pre-Requisites**

### Mathematics

- Multi-variable calculus
- Linear algebra
  - Matrix and tensor algebras
- Basic probability theory
- Programming
  - Python (intermediate level)

Lecture: Jan. 24<sup>th</sup>



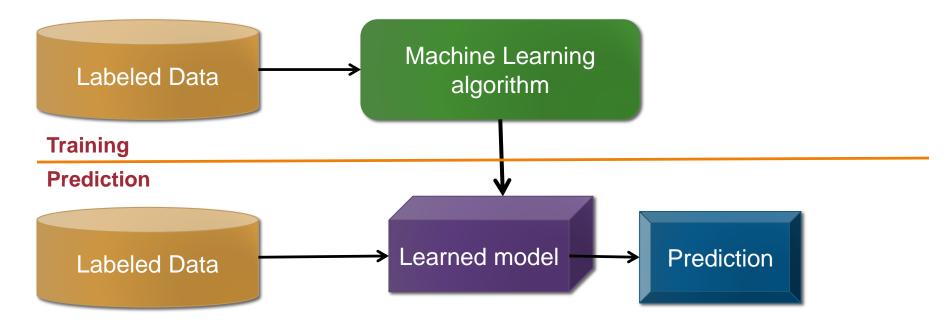
- Mid-term: 35%
- Final: 35%
- Programming assignments: 30%

## What will the exams be like?

- Technical understanding of deep learning
  - Key concept: how to design a deep network to perform task X.
  - Questions will explore science of deep learning
- Practice exams will be provided
- Examples
  - What architecture is best suited to image processing?
  - What activation functions work best for temporal models?
  - Draw a computation graph to solve task Y
  - How does the differentiability of an activation function affect the performance of a deep network?

# **Machine Learning Basics**

Machine learning is a field of computer science that gives computers the ability to **learn without being explicitly programmed** 



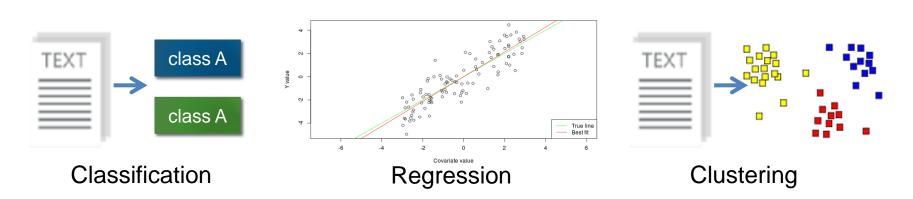
Methods that can learn from and make predictions on data

# Types of Learning

**Supervised**: Learning labels **y** with a **labeled training** set **x** Example: email *classification* with already labeled emails

**Unsupervised**: Discover **patterns** in **unlabeled** data **x** Example: *cluster* similar documents based on text

**Reinforcement learning**: learn to **act** based on **feedback/reward z** Example: learn to play Go, reward: *win or lose* 

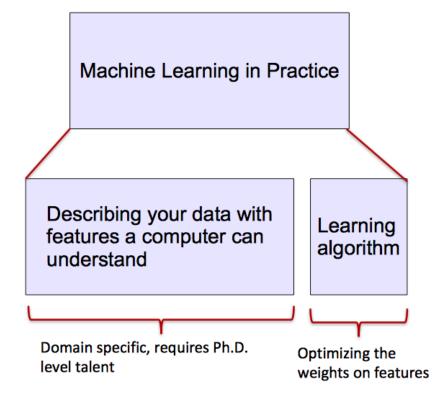


Anomaly Detection Sequence labeling y=f(x)

 $\mathcal{Z}$ 

# ML vs. Deep Learning

Most machine learning methods work well because of human-designed representations and input features ML becomes just optimizing weights to best make a final prediction



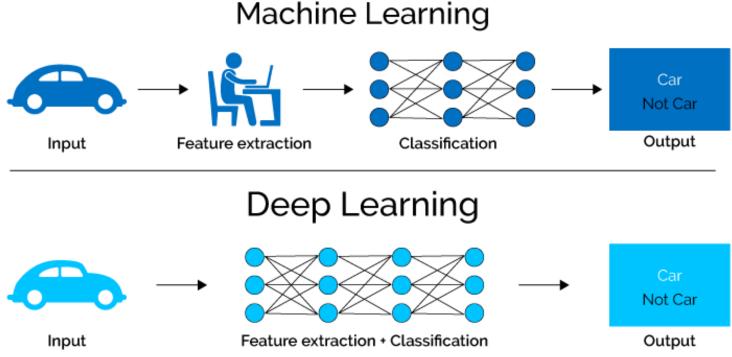
Feature	NER
Current Word	1
Previous Word	1
Next Word	1
Current Word Character n-gram	all
Current POS Tag	1
Surrounding POS Tag Sequence	1
Current Word Shape	<
Surrounding Word Shape Sequence	1
Presence of Word in Left Window	size 4
Presence of Word in Right Window	size 4

# What is Deep Learning (DL) ?

A machine learning subfield of learning **representations** of data. Very effective at **learning patterns**.

Deep learning algorithms attempt to learn (multiple levels of) representation by using a hierarchy of multiple layers

If you provide the system tons of information, it begins to understand it and respond in useful ways.



https://www.xenonstack.com/blog/static/public/uploads/media/machine-learning-vs-deep-learning.png

# Key Thing to Understand

- Task difficulty remains unchanged
  - Learning task is NP-hard (at least)
  - Type of learning does not affect this

• ML

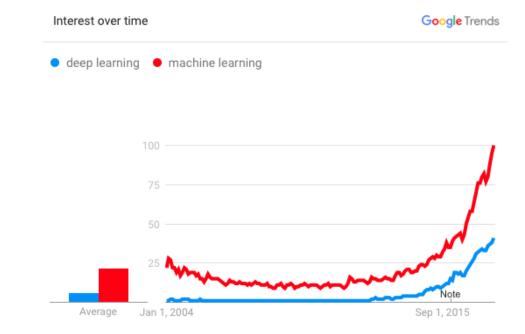
manual feature extraction/analysis

# Deep learning

 manual architecture/hyper-parameter specification/analysis

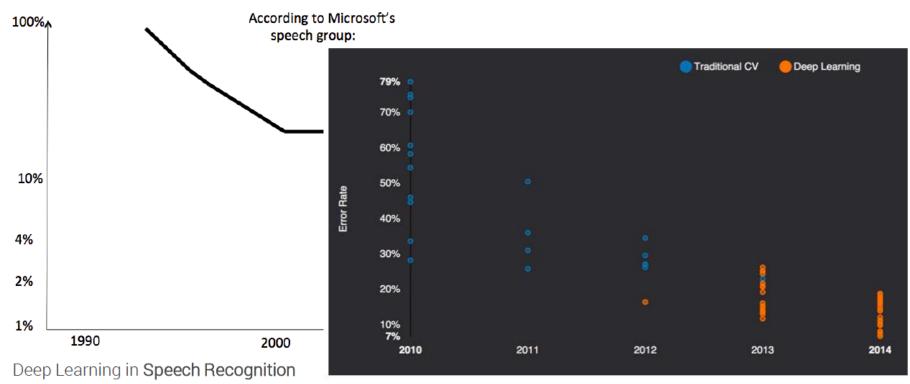
# Why is DL useful?

- Manually designed features are often over-specified, incomplete and take a long time to design and validate
- Learned Features are easy to adapt, fast to learn
- Deep learning provides a very flexible, (almost?) universal, learnable framework for representing world, visual and linguistic information.
- $\circ~$  Can learn both unsupervised and supervised
- Effective end-to-end joint system learning
- Utilize large amounts of training data



In ~2010 DL started outperforming other ML techniques first in speech and vision, then NLP

# State of the art in ...



ImageNet: The "computer vision World Cup"

#### Several big improvements in recent years in NLP

- ✓ Machine Translation
- ✓ Sentiment Analysis
- ✓ Dialogue Agents
- ✓ Question Answering
- ✓ Text Classification .

Leverage different levels of representation

- words & characters
- o syntax & semantics

#### **Milestones: Digit Recognition**

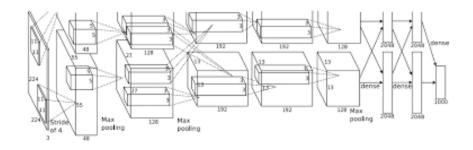
LeNet 1989: recognize zip codes, Yann Lecun, Bernhard Boser and others, ran live in US postal service

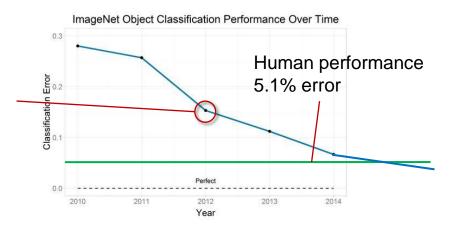
$$\begin{array}{c} 10 \text{ output units} \\ 80332 - 4429 80306 \\ \textbf{400004} \\ \textbf{42310} \\ \hline \textbf{10 output units} \\ \textbf{130 hidden u$$

#### **Milestones: Image Classification**

# Convolutional NNs: AlexNet (2012): trained on 200 GB of ImageNet Data

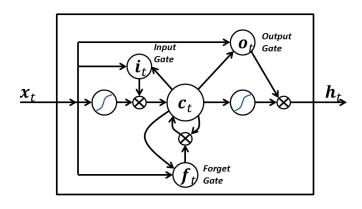


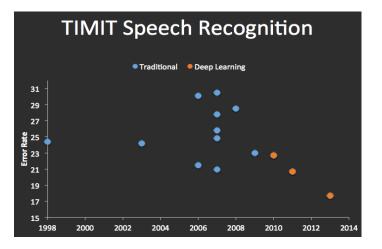


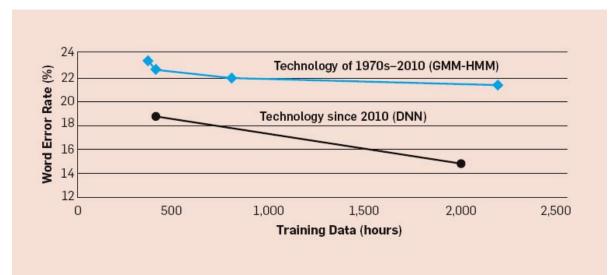


#### **Milestones: Speech Recognition**

#### Recurrent Nets: LSTMs (1997):

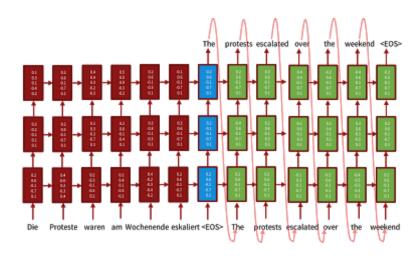






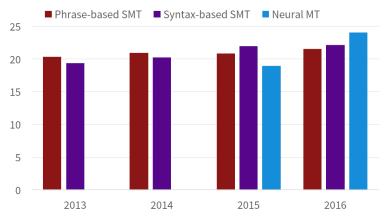
#### **Milestones: Language Translation**

#### Sequence-to-sequence models with LSTMs and attention:



#### **Progress in Machine Translation**

[Edinburgh En-De WMT newstest2013 Cased BLEU; NMT 2015 from U. Montréal]

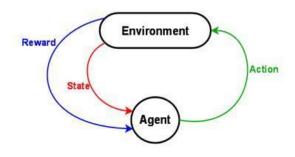


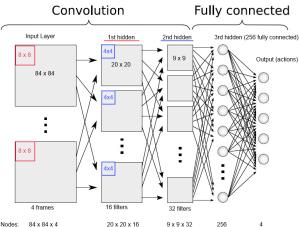
From [Sennrich 2016, http://www.meta-net.eu/events/meta-forum-2016/slides/09\_sennrich.pdf]

Source: Luong, Cho, Manning ACL Tutorial 2016.

#### **Milestones: Deep Reinforcement Learning**

In 2013, Deep Mind's arcade player bests human expert on six Atari Games. Acquired by Google in 2014,.





 Notes:
 64 x 84 x 4
 20 x 20 x 10
 9 x 9 x 32
 200
 4

 Weights:
 8 x 8 x 4 x 16
 4 x 4 x 16 x 32
 9 x 9 x 32 x 256
 256 x 4

In 2016, Deep Mind's alphaGo defeats former world champion Lee Sedol



#### Deep Learning: Is it Hype or Hope?

#### Deep Learning: Is it Hype or Hope?

Yes !

- Creating high-performance network is a "black art"
  - Many adjustable parameters
- Lots of data needed
- Need hardware/software integration for efficiency
  - GPUs are difficult to program

# ML compared to DL

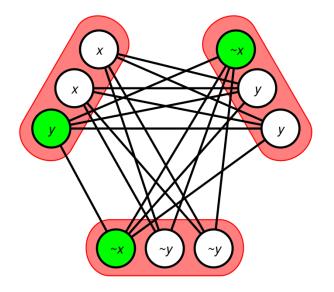
	Machine Learning	Deep Learning
Data Dependencies	Excellent performances on a small/medium dataset	Excellent performance on a big dataset
Hardware dependencies	Work on a low-end machine.	Requires powerful machine, preferably with GPU: DL performs a significant amount of matrix multiplication
Feature engineering	Need to understand the features that represent the data	No need to understand the best feature that represents the data
Execution time	From few minutes to hours	Up to weeks. Neural Network needs to compute a significan number of weights
Interpretability	Some algorithms are easy to interpret (logistic, decision tree), some are almost impossible (SVM, XGBoost)	Difficult to impossible

# ML compared to DL

	Machine learning	Deep learning
Training dataset	Small	Large
Choose features	Yes	No
Number of algorithms	Many	Few
Training time	Short	Long

#### Why the success of DNNs is surprising

- From both complexity and learning theory perspectives, simple networks are very limited.
  - Can't compute parity with a small network.
  - NP-Hard to learn "simple" functions like 3SAT formulae
  - Training a DNN is NP-hard.



#### Why the success of DNNs is surprising

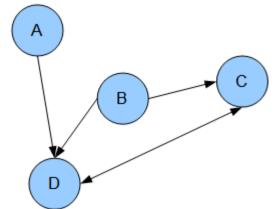
 The most successful DNN training algorithm is a version of gradient descent which will only find local optima. In other words, it's a greedy algorithm. Backprop:

> loss L = f(g(h(y)))dL/dy = f'(g) x g'(h) x h'(y)

- Greedy algorithms are even more limited in what they can represent and how well they learn.
- If a problem has a greedy solution, its regarded as an "easy" problem.

#### Why the success of DNNs is surprising

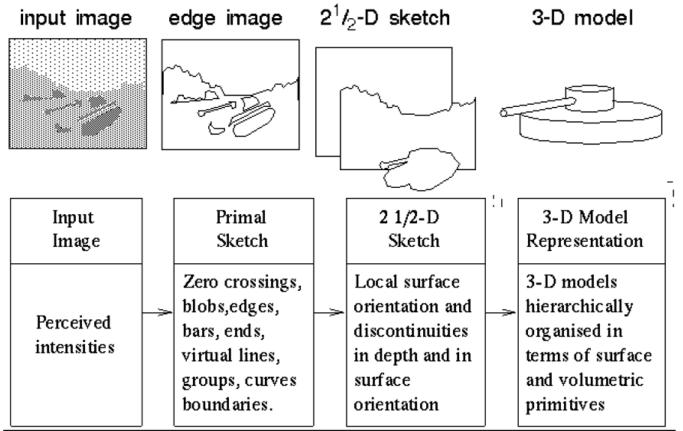
- In graphical models, values in a network represent random variables, and have a clear meaning. The network structure encodes dependency information, i.e. you can represent rich models.
- In a DNN, node activations encode nothing in particular, and the network structure only encodes (trivially) how they derive from each other.



#### Why the success of DNNs is <del>surprising</del> obvious

Hierarchical representations are ubiquitous in AI. Computer vision:

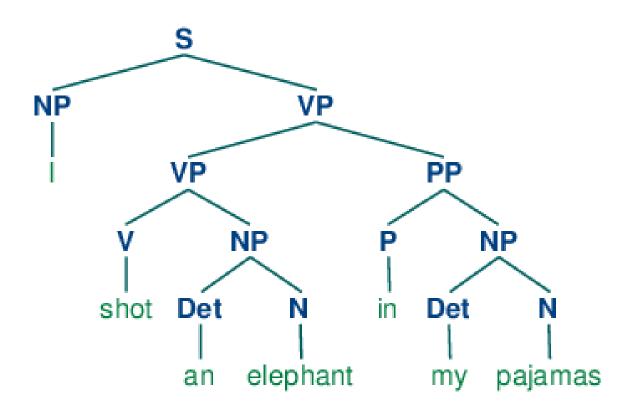
970s



Stages of Visual Representation, David Marr,

#### Why the success of DNNs is surprising obvious

Natural language:



#### Why the success of DNNs is <del>surprising</del> obvious

Human Learning: is deeply layered.

Zone of proximal development (Learner can do with guidance)

> Learner can do unaided

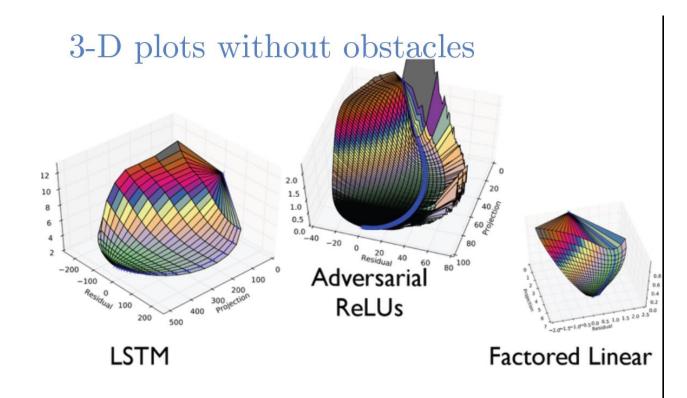
Learner cannot do

#### Deep expertise



#### Why the success of DNNs is surprising obvious

- What about greedy optimization?
- Less obvious, but it looks like many learning problems (e.g. image classification) are actually "easy" i.e. have reliable steepest descent paths to a good model.



#### **Neural Network: Basis of Deep Learning**

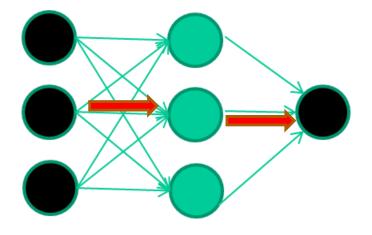
- Overview of neural network
- Functional approximation
  - NN is a universal function approximator
    - Can approximate an arbitrary non-linear function

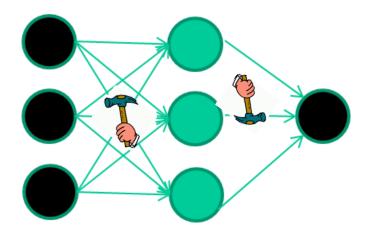
# Artificial Neural Network (NN)

- NN: mathematical model designed to solve engineering problems
  - Group of highly connected artificial neurons to realize compositions of non-linear functions
  - Tasks
    - Classification
    - Discrimination
    - Estimation
- 2 types of networks
  - Feed forward Neural Networks
  - Recurrent Neural Networks

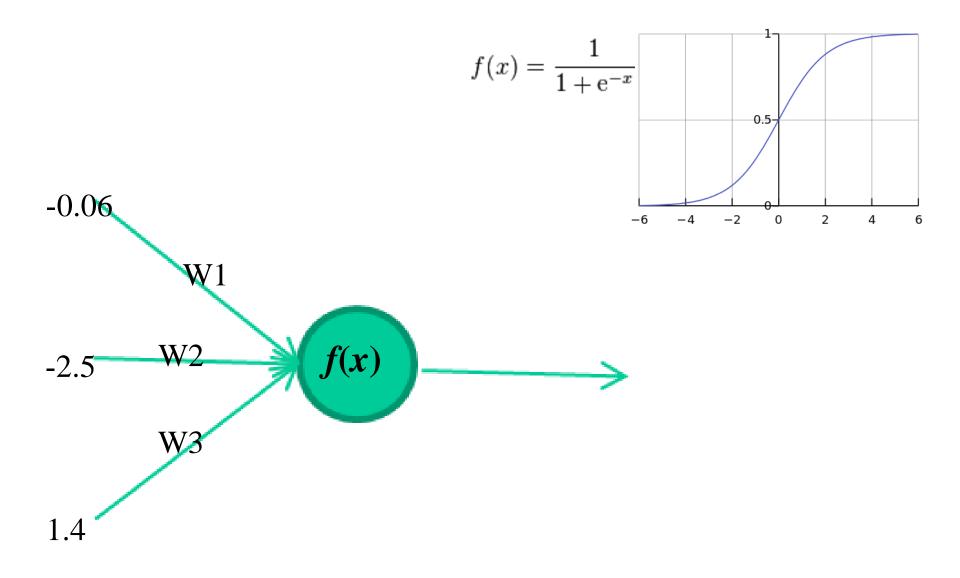
## **Neural Network Basics**

- Two main operations
  - Forward propagation
  - Backward propagation (weight adjustment)

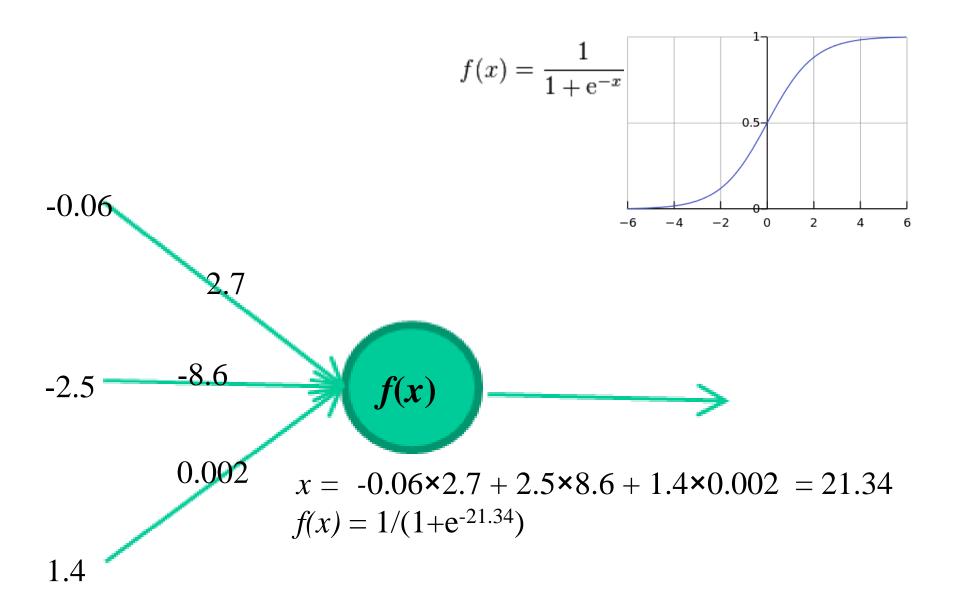




#### **Artificial neuron**

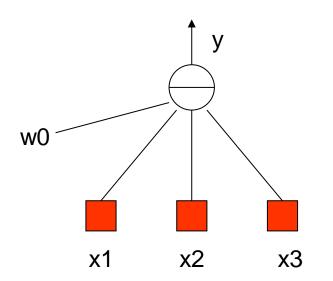


#### **Artificial neuron**



### **General View: Artificial neuron**

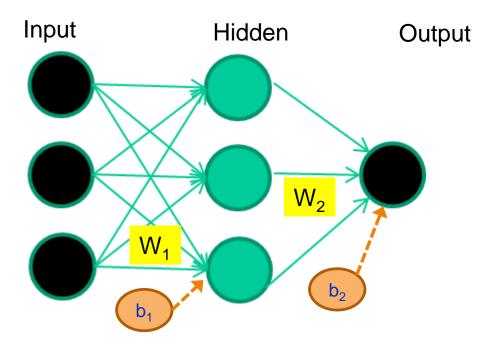
 An Artificial Neuron is a non-linear parameterized function with restricted output range



$$y = f \overset{\mathcal{R}}{\underset{e}{\Diamond}} w_0 + \overset{n-1}{\underset{i=1}{\circ}} w_i x_i \overset{o}{\div}$$

 $w_0$  also called a bias term ( $b_i$ )

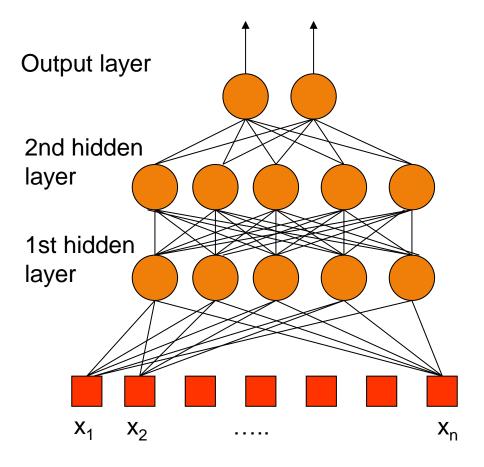
## **Multiple Layers: Artificial neuron**



**x**  $\mathbf{y}_1$   $\mathbf{y}_2$  VECTORS

 $y_1 = f_1(W_1 x + b_1)$   $y_2 = f_2(W_2 y_1 + b_2)$ 

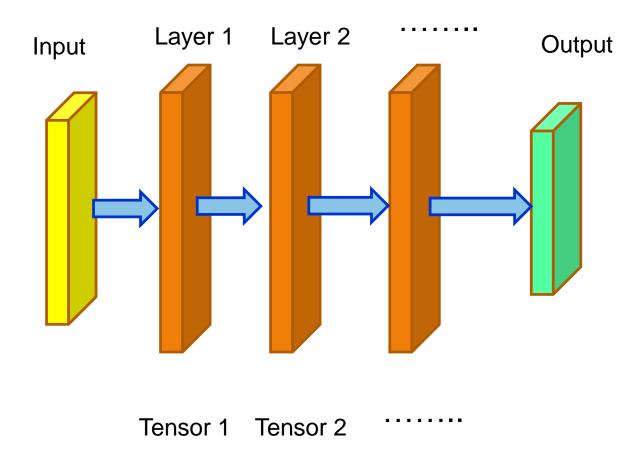
## Feed Forward Neural Networks



- The information is propagated from the inputs to the outputs
  - Directed Acyclic Graph (DAG)
- Computes one or more nonlinear functions
  - Computation is carried out by composition of some number of algebraic functions implemented by the connections, weights and biases of the hidden and output layers
- Hidden layers compute intermediate representations
  - Dimension reduction
- Time has no role -- no cycles between outputs and inputs

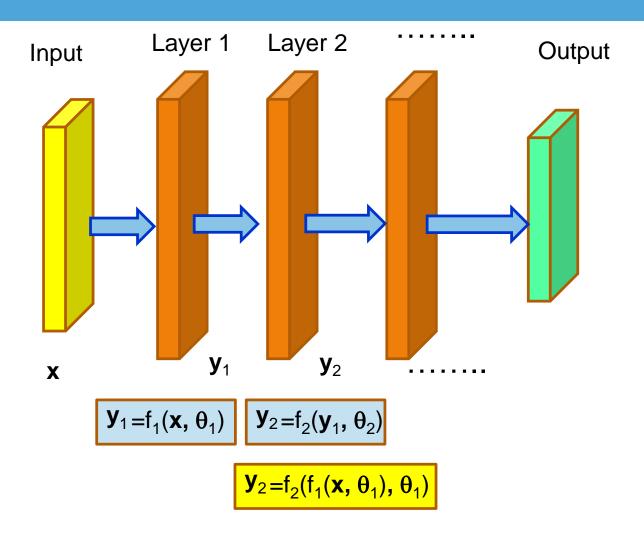
We say that the input data, or features, are *n* dimensional

### **General Case: Deep Network**



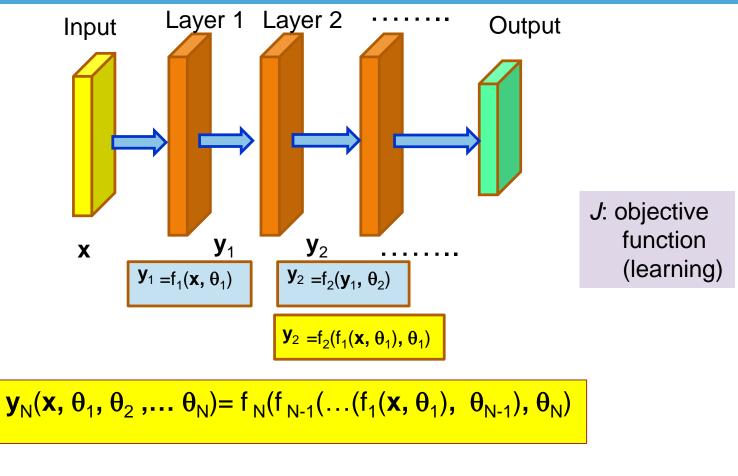
**tensor:** an algebraic object that describes a <u>mapping</u> from one set of algebraic objects to another

#### **General Case: Deep Network**



 $\mathbf{y}_{N}(\mathbf{x}, \boldsymbol{\theta}_{1}, \boldsymbol{\theta}_{2}, \dots, \boldsymbol{\theta}_{N}) = f_{N}(f_{N-1}(\dots(f_{1}(\mathbf{x}, \boldsymbol{\theta}_{1}), \boldsymbol{\theta}_{N-1}), \boldsymbol{\theta}_{N})$ 

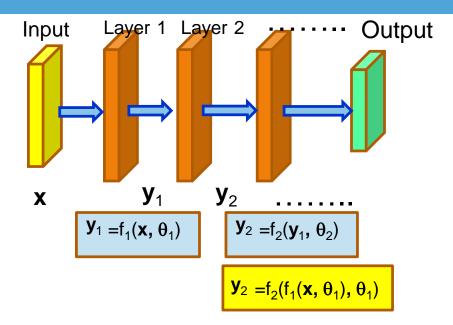
### Training: Deep Network



Loss (cost) function

 $\theta^* = \arg \min_{\theta} \sum_{(x,y) \in (X,Y)} J[y, fL(x, \theta_1, L)]$ 

### Summary: Deep Network



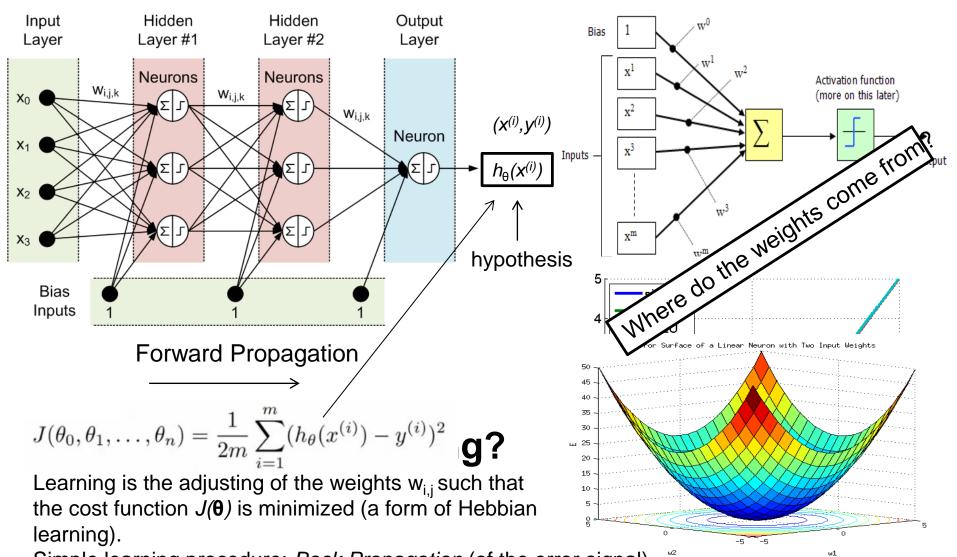
Deep Network: family of parametric, non-linear, hierarchical representations

$$\mathbf{y}_{N}(\mathbf{x}, \boldsymbol{\theta}_{1}, \boldsymbol{\theta}_{2}, \dots, \boldsymbol{\theta}_{N}) = f_{N}(f_{N-1}(\dots(f_{1}(\mathbf{x}, \boldsymbol{\theta}_{1}), \boldsymbol{\theta}_{N-1}), \boldsymbol{\theta}_{N})$$

Training: optimize network parameters to minimise loss over training set

$$\theta^* = \arg \min_{\theta} \sum_{(x,y) \in (X,Y)} J[y, fL(x, \theta_{1,\dots,L})]$$

#### Deep Feed Forward Neural Nets (in 1 Slide (©))



Simple learning procedure: *Back Propagation* (of the error signal)

# **Overview of Topics**

- Representations for Deep Learning
- Underlying Mathematics
- Inference
  - TensorFlow
  - BackPropagation
- Network Classes
  - CNN
  - Temporal Deep Networks (RNN, LSTM)
  - Deep Reinforcement Learning
- Practical Aspects